

UA1992.14, 1994.12

## **E. OGDEN KETTING PAPERS**

**Dates:** 1894-1992, Undated (bulk 1928-1932)

**Creator:** Ketting, E. Ogden (1899-1968)

**Extent:** 5.5 linear feet

**Level of description:** Folder

**Processor & date:** Valerie Gerrard Browne, 1994; updated by K. Young, 2016

### **Administration Information**

**Restrictions:** None

**Copyright:** Copyright was transferred to Loyola University Chicago on September 9, 1992.

**Citation:** Loyola University Chicago Archives & Special Collections. E. Ogden Ketting Papers, 1894-1992, Box #, Folder #.

**Provenance:** The E. Ogden Ketting papers were donated to Loyola University Chicago University Archives by Julie Baker, James Baker, Elinor Ogden, and William Ogden, legal agents for the estate of E. Ogden Ketting, on September 9, 1992, and March 1, 1994.

**Separations:** None

**See Also:** Samuel Insull Papers, University Archives, Loyola University Chicago

### **Biographical Sketch**

Edward Ogden Ketting was born 28 January 1899 in Kankakee, Illinois, one of three sons of Marie Louise Brosseau [7 February 1874(?)-1961(?)] and Robert Bailey Ketting, Sr. (6 January 1873(?)-1952). In 1918 Mr. Ketting graduated from the Marquand School in Brooklyn, New York. There he served as president of the senior class, president of the drama club, literary editor of the yearbook, and a member of the swimming, tennis, track, and football teams. While a student at Princeton University in 1918, Mr. Ketting served briefly in the U.S. Army. He left Princeton before graduating and by 1921 was working in the office of Samuel Insull, beginning as an office boy and later becoming private secretary to Samuel Insull as well as Assistant Secretary of Commonwealth Edison Company.

A close and trusted associate of Samuel Insull and friend of Samuel Insull, Jr., E. Ogden Ketting was appointed a managing director of Insull, Son & Co., Ltd., in London in February 1928. In September 1930 he was elected deputy chairman, replacing the Rt. Hon. Lord Churston, thus making him the ranking officer of Insull, Son & Co. abroad. In 1927, prior to his appointment in London, Mr. Ketting had been named Assistant Secretary of Insull, Son & Co., Inc., the parent company of Insull, Son & Co., Ltd., and the following year became its Vice President. Insull, Son & Co. went into receivership on 16 April 1932, and Mr. Ketting resigned as an officer on 26 July 1932.

The establishment of the Insull office in London was first outlined in an April 1923 agreement between Ernest E. Ling and Samuel Insull (See Insull Papers, folder 2-5). Its purpose was to sell Insull-managed, American local public utility junior securities

("prior lien, preferred and ordinary shares") in the European market, especially shares of Middle West Utilities Company and its subsidiary companies. The sales of senior securities, *i.e.*, bonds and debentures, were to be handled largely through Halsey, Stuart & Company. Samuel Insull sought to avoid retail sales, preferring instead to "sell blocks of securities privately to various trusts and investment companies and large financial institutions." Continuing the policy he had pursued prior to World War I, Insull stated a preference for dealing with such firms as Robert Fleming & Company, Shepherd & Wedderburn, Robert Benson & Company, Kitcat & Aiken, and various investment companies.

Insull, Son & Co., Ltd., commenced business in London on 1 October 1924, merging Ling's operations into the new company. In December 1929 Insull, Son & Co. of Canada, Ltd., was organized as a subsidiary of Insull, Son & Co., Ltd. The original office at 32 Bishopsgate Street in London was moved in October 1929 to 43 Threadneedle Street where it remained until it closed in 1932. Members of the staff of the Insull, Son & Co., Ltd. whose correspondence is represented in the E. Ogden Ketting Papers were: Ernest E. Ling, James Gilroy (Jim) Annan, W. H. (Bill) Dadd, P. J. Forscey, and Fabian A. Wrede.

In January 1932, when the market for Insull securities had become very unfavorable and the Insull public utilities empire was threatened with collapse, Mr. Ketting returned to Chicago. There he remained through unsuccessful efforts to save the Insull empire, returning finally to England in June 1932 to close operations in Europe. Samuel Insull also left the United States in June 1932, and Mr. Ketting maintained contact with him through December 1932, serving at times as the intermediary for messages between Samuel Insull and his son, Samuel Insull, Jr.

In late 1932, after the close of the office of Insull, Son & Co., Ltd., Mr. Ketting joined the brokerage firm of Spencer Trask & Co. in London. Effective 1 January 1933 he was transferred to Chicago to act as the official representative of a group of British trust companies in salvage work relating to Insull securities. Subsequently, he held positions as a commodities trader, affiliated with the Chicago Board of Trade and associated in 1936 Hallgarten & Co. and in 1940 with Fuller, Rodney & Redmond. In 1942 Mr. Ketting retired to his farm, "Headacres," near Libertyville, Illinois, where he and his wife Elinor engaged successfully in dairy farming. In 1958 the Kettings and the Gaylord Donnelley's made portions of their adjacent farms into a private game preserve, known as "Heads or Tails," which received notice in the local press.

While in London, Mr. Ketting was on the boards of the London Orphan School, the American Chamber of Commerce in London, the Calcot Golf Club, and the American Society in London. Other memberships included the American Club, Devonshire Club, Ranelagh Club, Reform Club, and the South Berks Agricultural Association. In addition, he served as a director of Walker Vehicles Limited.

Mr. Ketting married twice. His first marriage to Rosemary Ames (11 December 1906-21 April 1988), daughter of Knowlton L. and Adelaide S. Ames of Chicago, took place just prior to his February 1928 departure for England and ended in divorce in 1931. They had one daughter, Julie Brosseau, who was born in England on 3 January 1929 and

who remained in her father's custody during the separation and after the divorce. His second marriage to Elinor Farwell (3 January 1905-8 March 1992), daughter of Katherine Isham and Arthur Lincoln Farwell of Chicago and Lake Forest, Illinois, took place 11 June 1932 in Chicago. They had one daughter, Elinor (no middle name), born in 1934.

Mr. Ketting's daughter Julie married James Baker and had two children: Sandra (married Albert Higby) and Adam. His daughter Elinor married William F. Ogden. They had three children: Laura (married Steve Stackhouse), William, and David.

Mr. Ketting died in Chicago on 9 April 1968.

## **Scope and Content**

The papers of E. Ogden Ketting are both business and personal in nature and revolve primarily around his employment as managing director and deputy chairman of Insull, Son & Co., Ltd., in London, England, between 1928 and 1932. These papers are divided into three series as detailed below. An addendum of business and personal papers, which was received in March of 1994, makes up Series 4.

## **Series**

### **SERIES 1: INSULL, SON & CO., LTD., OFFICE FILES, 1924-1932. BOXES 1-6.**

Series 1, composed of Insull, Son & Co., Ltd., office files, is divided into six subseries as detailed below.

#### **Subseries 1-A: "E. Ogden Ketting" Office File, 1926-1932. Boxes 1-3.**

Chronologically arranged correspondence, telegrams, minutes, agenda, memoranda, letters of introduction, and other papers of or relating to E. Ogden Ketting [EOK] of a personal and business nature which were maintained in the office of Insull, Son & Co., Ltd. Included is correspondence with EOK prior to his transfer to the London office as well as correspondence with him while he was out of the country. Of particular interest are the correspondence, memos, and telegrams between EOK and the London office between January and June of 1932 when he was in the United States and witness to the fall of the Insull empire. Also well documented is his work with the London Orphan School and to a lesser extent, the American Chamber of Commerce in London and the Calcot Golf Club. Other subjects include the stock market, the marketing of stocks in Europe, arrangements for Americans visiting Europe, his search for housing for himself and Insull family members, and the "Century of Progress," the Chicago World's Fair of 1933. Correspondents include James Gilroy (Jim) Annan, Matt C. Brush, W. H. (Bill) Dadd, Gaylord A. Freeman, E. V. Graham, Samuel Insull, Jr., John G. Learned, Lewis E. Meyers, and John F. O'Keefe,

#### **Subseries 1-B: Margin Account Maintained on Behalf of E. Ogden Ketting by Fabian A. Wrede, December 1931-July 1932. Box 4.**

Correspondence, telegrams, statements of financial transactions with the Anglo-French Banking Corporation, Lazard Brothers & Co., Ltd., American Express

Company, and National City Bank of New York, relating to margin accounts, primarily in guiders and florins. Arranged chronologically.

**Subseries 1-C: "Mr. Samuel Insull - Business," 1926-1932. Boxes 4-6.**

Correspondence, telegrams, reports, financial records, clippings, and other papers relating to business and personal matters, sent between Samuel Insull and members of the staff of Insull, Son & Co., Ltd., arranged chronologically or in the order received and answered. Subjects include dinner given in London by Samuel Insull for John J. Mitchell of Illinois Merchants Trust Co.; marketing of securities; economic, political, and business conditions; advice to investors; Reginald McKenna; traction; European business contacts; recapitalization of Middle West Utilities Company; Insull Utility Investments, Inc.; Pincents Farm; and Samuel Insull's health, arrangements for his trips to Europe, purchases of furniture, and his charities, especially the Insull Memorial Wing of the London Temperance Hospital. Other correspondents include James Gilroy (Jim) Annan, Robert W. Bonesteel, W. H. (Bill) Dadd, P. G. Forscey, Samuel Insull, Jr., Albert Kornfeld, Ernest E. Ling, and John F. O'Keefe.

**Subseries 1-D: "Mr. Samuel Insull - Appeals," 1929-1931. Box 6.**

Appeals to Samuel Insull for charity which were handled through Insull, Son & Co., Ltd., arranged chronologically.

**Subseries 1-E: Insull, Son & Co., Ltd., Financial Records, 1924-1932. Box 6.**

Insull, Son, & Co., Ltd., summary financial records, 1924-1931, including shares bought and sold, commissions, travel expenses, operating expenses, list of European shareholders, bank balances, and capital raised.

**Subseries 1-F: Code, 1931-ca. 1932, n.d. Box 6.**

Messages of a confidential nature were sent between Insull offices and officers via encoded telegrams. Included in this subseries are code books for April 1931, September 1931, and additional codes for ca. 1932 which were used for sending and deciphering encoded messages.

**SERIES 2: "FALL OF THE EMPIRE," 1913-1932, PRIMARILY, JANUARY-JUNE 1932. BOXES 6-7.**

Series 2 contains correspondence, telegrams, reports, memos, charts, speeches, and related material from the period, January-June 1932, while EOK was in United States and witness to the collapse of Insull stock prices and unsuccessful efforts to procure bank financing, leading to the fall of the Insull empire of public utilities. The materials are arranged chronologically with information of individual companies placed at the end of the series.

**SERIES 3: E. OGDEN KETTING PERSONAL FILES, 1900-1932, PRIMARILY 1928-1932. BOXES 7-11.**

Series 3, containing the personal files of E. Ogden Ketting, is divided into seven subseries which are detailed below.

**Subseries 3-A: Halsey, Stuart and Co., 1920s-1932. Box 7.**

Contains correspondence with Harry L. Stuart and Charles B. Stuart of Halsey, Stuart and Co., pertaining to such subjects as the bond market, the international money market, sale of American bonds in Europe, the U.S. economy, U.S. banking, and European contacts, arranged chronologically. The correspondence is followed by Halsey, Stuart and Company financial and investment training materials of the 1920s.

**Subseries 3-B: Personal Correspondence with Samuel Insull, 1921, 1928-1932. Boxes 8-9.**

Correspondence and telegrams between Samuel Insull and E. Ogden Ketting which were considered personal and maintained separately from the Insull office file. Subjects include analyses of business, economic, and political conditions in the United States, England, France, and Germany and their interrelationship, especially during the last quarter of 1931; stock and bond markets; financing and marketing of Insull securities. Subjects of a more personal nature include Pincent's Farm (Insull's property in England), itineraries of Insull's trips of England and the Continent, sailing accommodations, Chicago Civic Opera Company, Insull charities, T. P. O'Connor, and entertaining Insull friends, family, and business associates. Other correspondents include Samuel Insull, Jr., Rosemary Ketting, Ernest E. Ling, P. J. McEnroe, and David F. Taber. The papers are arranged chronologically with one file on Corporation Securities Co. of Chicago placed last.

**Subseries 3-C: Personal Correspondence with Samuel Insull, Jr., 1928-1932. Box 10.**

Correspondence and telegrams between E. Ogden Ketting and Samuel Insull, Jr., pertaining to business and personal matters, such as the formation and financing of Midland Utilities Investment Co.; the U. S. economy, business conditions, stock market, and elections; European banking; Samuel Insull; birth of Samuel Insull, III; employment and other plans after the fall of the Insull empire; and instructions in 1932 for Samuel Insull for his return to the U.S.

**Subseries 3-D: Personal Correspondence with Philip J. McEnroe, 1928-1929, 1932. Box 10.**

Philip J. McEnroe was Samuel Insull's personal bookkeeper and a friend and former office mate of E. Ogden Ketting when he worked in Samuel Insull's office. Correspondence relates to Ketting's personal finances, Pincent's Farm, and the disposal of British Power & Light common stock by the Insull receivership.

**Subseries 3-E: Personal Correspondence with John F. O'Keefe, 1928-1931. Box 10.**

John F. O'Keefe was a friend of E. Ogden Ketting and member of Samuel Insull's personal office staff of which EOK had also been a part. Correspondence, telegrams, and clippings relating to office personnel and news, Samuel Insull, politics and elections in the United States (federal, state, and local), the press, opera and the Chicago Civic Opera House, the code for telegraphic messages, and Insull securities.

**Subseries 3-F: E. Ogden Ketting Personal File, 1900-1932, n.d.,  
Primarily, 1928-1932. Boxes 10-11**

Responses of European contacts to Samuel Insull's letter announcing that EOK was joining the London office; clippings relating to Samuel Insull; photographs of EOK and Samuel Insull, Jr.; correspondence, telegrams, financial and statistical reports, pamphlets, and other papers relating to personal and family matters as well as to the British, European, and Illinois economies, British politics, stock and bond markets, La Maison des Nations (Paris), *Journal of Commerce* (Chicago), investment trusts, the cotton spinning trade, Insull companies, and the Calcot Golf Club. Correspondents include Knowlton L. Ames, Knowlton L. Ames, Jr., Rex Benson, Matt C. Brush,

E. V. Graham, Samuel Insull, Samuel Insull, Jr., R. H. James, David F. Taber, and A. J. Thomas. Correspondence is arranged chronologically and is followed by subject files.

**Subseries 3G: General Correspondence and Finances, 1929-1932 (primarily, July-December 1932). Box 11.**

Correspondence and other papers reflecting primarily the period, July-December 1932, following the collapse of the Insull empire, when EOK had returned to England to close the London office and find employment for himself. Subjects include his personal finances, his search for another position, resignation of his memberships, income taxes, and selling or renting his home. Correspondents include A. B. Dick, Marshall P. Blankarn, Matt C. Brush, W. H. Dadd, Maurice Hely-Hutchinson, Gordon Selfridge, H. L. Stuart, and A. J. Thomas,

## **Accruals**

**SERIES 4: ADDENDUM OF BUSINESS AND PERSONAL PAPERS, 1894-1992. Box 12**

An addendum, composed of letters of introduction, correspondence, cables, photographs, clippings, Christmas list, bank statements, biographical data, pamphlets, and other papers and publications relating to the business and personal affairs of E. Ogden Ketting and his family were received from Julie Baker Ketting in March 1994. Correspondents and subjects include Samuel Insull, Marie Brosseau Ketting (mother of EOK), John F. O'Keefe, Murray Corporation of America, and EOK's London and Libertyville properties.

## **Subjects:**

Ketting, E. Ogden  
Insull, Samuel

Insull, Jr., Samuel  
Insull, Son & Co.  
Chicago, IL  
London, England  
London Orphan School  
American Chamber of Commerce, London, England  
Century of Progress  
Middle West Utilities  
Insull Utility Investments, Inc.  
London Temperance Hospital  
McEnroe, Philip J.  
O'Keefe, John  
British politics

**Accession No.: UA1992.14, 1994.12**  
**Creator: Ketting, E. Ogden (1899-1968)**

| <b><u>Box</u></b> | <b><u>Folder</u></b> | <b><u>Title</u></b>                                                    | <b><u>Dates</u></b>            |
|-------------------|----------------------|------------------------------------------------------------------------|--------------------------------|
|                   |                      | <b>SERIES 1: INSULL, SON &amp; CO., LTD., OFFICE FILES , 1926-1932</b> |                                |
| 1                 | 1                    | "E. Ogden Ketting" Office File                                         | 1926-1927                      |
| 1                 | 2                    | "E. Ogden Ketting" Office File                                         | February-August 1928           |
| 1                 | 3                    | "E. Ogden Ketting" Office File                                         | September-December 1928        |
| 1                 | 4                    | "E. Ogden Ketting" Office File                                         | January-April 1929             |
| 1                 | 5                    | "E. Ogden Ketting" Office File                                         | May-June 1929                  |
| 1                 | 6                    | "E. Ogden Ketting" Office File                                         | July-September 1929            |
| 1                 | 7                    | "E. Ogden Ketting" Office File                                         | October-December 1929          |
| 1                 | 8                    | "E. Ogden Ketting" Office File                                         | January-March 1930             |
| 1                 | 9                    | "E. Ogden Ketting" Office File                                         | April-May 1930                 |
| 1                 | 10                   | "E. Ogden Ketting" Office File                                         | May-June 1930                  |
| 1                 | 11                   | "E. Ogden Ketting" Office File                                         | July 1930                      |
| 1                 | 12                   | "E. Ogden Ketting" Office File                                         | August-September 1930          |
| 1                 | 13                   | "E. Ogden Ketting" Office File                                         | October-November 1930          |
| 1                 | 14                   | "E. Ogden Ketting" Office File                                         | December 1930 – January 1931   |
| 1                 | 15                   | "E. Ogden Ketting" Office File                                         | January 7-21, 1931             |
| 1                 | 16                   | "E. Ogden Ketting" Office File                                         | February 1931                  |
| 1                 | 17                   | "E. Ogden Ketting" Office File                                         | March 1-18, 1931               |
| 2                 | 1                    | "E. Ogden Ketting" Office File                                         | March 19-31, 1931              |
| 2                 | 2                    | "E. Ogden Ketting" Office File                                         | April 1931                     |
| 2                 | 3                    | "E. Ogden Ketting" Office File                                         | May 1-14, 1931                 |
| 2                 | 4                    | "E. Ogden Ketting" Office File                                         | May 15-31, 1931                |
| 2                 | 5                    | "E. Ogden Ketting" Office File                                         | June 1-17, 1931                |
| 2                 | 6                    | "E. Ogden Ketting" Office File                                         | June 18-30, 1931               |
| 2                 | 7                    | "E. Ogden Ketting" Office File                                         | July 1-15, 1931                |
| 2                 | 8                    | "E. Ogden Ketting" Office File                                         | July 16-31, 1931               |
| 2                 | 9                    | "E. Ogden Ketting" Office File                                         | August 1931                    |
| 2                 | 10                   | "E. Ogden Ketting" Office File                                         | September 1-24, 1931           |
| 2                 | 11                   | "E. Ogden Ketting" Office File                                         | September 25 – October 5, 1931 |
| 2                 | 12                   | "E. Ogden Ketting" Office File                                         | October 6-21, 1931             |
| 2                 | 13                   | "E. Ogden Ketting" Office File                                         | October 22-31, 1931            |
| 3                 | 1                    | "E. Ogden Ketting" Office File                                         | November 1-10, 1931            |
| 3                 | 2                    | "E. Ogden Ketting" Office File                                         | November 11-23, 1931           |
| 3                 | 3                    | "E. Ogden Ketting" Office File                                         | November 24-30, 1931           |

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|   |    |                                |                                       |
|---|----|--------------------------------|---------------------------------------|
| 3 | 4  | "E. Ogden Ketting" Office File | December 1-20, 1931                   |
| 3 | 5  | "E. Ogden Ketting" Office File | December 21, 1931-<br>January 6, 1932 |
| 3 | 6  | "E. Ogden Ketting" Office File | January 7-31, 1932                    |
| 3 | 7  | "E. Ogden Ketting" Office File | February 1932                         |
| 3 | 8  | "E. Ogden Ketting" Office File | March 1-18, 1932                      |
| 3 | 9  | "E. Ogden Ketting" Office File | March 19 – April 15,<br>1932          |
| 3 | 10 | "E. Ogden Ketting" Office File | April 18 – May 9,<br>1932             |
| 3 | 11 | "E. Ogden Ketting" Office File | May 10-31, 1932                       |
| 3 | 12 | "E. Ogden Ketting" Office File | June 1-15, 1932                       |
| 3 | 13 | "E. Ogden Ketting" Office File | June 23-30, 1932                      |
| 3 | 14 | "E. Ogden Ketting" Office File | July-September 1932                   |

**Subseries 1-B: Margin Account Maintained on  
Behalf of E. Ogden Ketting by Fabian A. Wrede,  
1931-1932**

|   |   |                                             |                                |
|---|---|---------------------------------------------|--------------------------------|
| 4 | 1 | E. Ogden Ketting Financial, Margin Accounts | December 1931-<br>January 1932 |
| 4 | 2 | E. Ogden Ketting Financial, Margin Accounts | February – March 10,<br>1932   |
| 4 | 3 | E. Ogden Ketting Financial, Margin Accounts | March 11 – July 1932           |

**Subseries 1-C: "Mr. Samuel Insull – Business",  
1926-1932**

|   |    |                                                                            |                                 |
|---|----|----------------------------------------------------------------------------|---------------------------------|
| 4 | 4  | Insull, Mr. Samuel – Business – Dinner to Mr. John J. Mitchell, Claridge's | April 12, 1926                  |
| 4 | 5  | Insull, Mr. Samuel – Business – Dinner to Mr. John J. Mitchell, Claridge's | April 12, 1926                  |
| 4 | 6  | Insull, Mr. Samuel - Business                                              | April 5, 1926-May<br>1927       |
| 4 | 7  | Insull, Mr. Samuel - Business                                              | June 10 – September<br>12, 1927 |
| 4 | 8  | Insull, Mr. Samuel - Business                                              | September 19 –<br>October 1927  |
| 4 | 9  | Insull, Mr. Samuel - Business                                              | November –<br>December 1927     |
| 4 | 10 | Insull, Mr. Samuel - Business                                              | January – March 1928            |
| 4 | 11 | Insull, Mr. Samuel - Business                                              | May – June 1928                 |
| 4 | 12 | Insull, Mr. Samuel - Business                                              | July – October 1928             |
| 4 | 13 | Insull, Mr. Samuel - Business                                              | November 1928                   |
| 4 | 14 | Insull, Mr. Samuel - Business                                              | November –<br>December 1928     |
| 4 | 15 | Insull, Mr. Samuel - Business                                              | December 1928                   |
| 4 | 16 | Insull, Mr. Samuel - Business                                              | January-March 1929              |
| 4 | 17 | Insull, Mr. Samuel - Business                                              | March-June 1929                 |
| 5 | 1  | Insull, Mr. Samuel - Business                                              | June-July 1929                  |
| 5 | 2  | Insull, Mr. Samuel - Business                                              | July-August 1929                |

|   |    |                               |                            |
|---|----|-------------------------------|----------------------------|
| 5 | 3  | Insull, Mr. Samuel - Business | August-September 1929      |
| 5 | 4  | Insull, Mr. Samuel - Business | October 1929-February 1930 |
| 5 | 5  | Insull, Mr. Samuel - Business | March-May 1930             |
| 5 | 6  | Insull, Mr. Samuel - Business | June-July 1930             |
| 5 | 7  | Insull, Mr. Samuel - Business | August-September 1930      |
| 5 | 8  | Insull, Mr. Samuel - Business | October-December 1930      |
| 5 | 9  | Insull, Mr. Samuel - Business | January-April 1931         |
| 5 | 10 | Insull, Mr. Samuel - Business | May 1931                   |
| 5 | 11 | Insull, Mr. Samuel - Business | June 1931                  |
| 5 | 12 | Insull, Mr. Samuel - Business | July-August 1931           |
| 5 | 13 | Insull, Mr. Samuel - Business | September-October 1931     |
| 5 | 14 | Insull, Mr. Samuel - Business | November 1931 [July 1931]  |
| 6 | 1  | Insull, Mr. Samuel - Business | December 1931              |
| 6 | 2  | Insull, Mr. Samuel - Business | January-August 1932        |

**Subseries 1-D: "Mr. Samuel Insull – Appeals",  
1929-1931**

|   |   |                              |                   |
|---|---|------------------------------|-------------------|
| 6 | 3 | Insull, Mr. Samuel – Appeals | 1929-May 1930     |
| 6 | 4 | Insull, Mr. Samuel – Appeals | May-December 1930 |
| 6 | 5 | Insull, Mr. Samuel – Appeals | 1931              |

**Subseries 1-E: Insull, Son & CO., Ltd. –  
Financial Records, 1924-1932**

|   |   |                                                                                                                                          |                  |
|---|---|------------------------------------------------------------------------------------------------------------------------------------------|------------------|
| 6 | 6 | Insull, Son & Co., Ltd. - Financial Records                                                                                              | 1924-1931        |
|   |   | Number of Shares Sold from Allotment                                                                                                     | 1924-1931        |
|   |   | Summary of Shares Purchased A/C Portfolio and How Disposed of                                                                            | 1927-1931        |
|   |   | List of Shares Bought A/C Portfolio and Re-sold to Other Europeans, Resold on Open Market, and Disposition Shares on Hand at End of Year | 1927-1931        |
|   |   | Shares Sold on Open Market for Clients' Accounts                                                                                         | 1927-1931        |
|   |   | Shares Bought on the Open Market for Clients' Accounts                                                                                   | 1927-1931        |
|   |   | Operating Expenses with Ratio of Items to Total                                                                                          | 1928, 1929, 1930 |
|   |   | Analysis of traveling expenses, total commissions (net), also amount of Operating Expenses for Same Periods                              | 1928-1931        |
|   |   | Analysis of Sales and Commissions                                                                                                        | 1931             |
|   |   | Analysis of Portfolio Sales                                                                                                              | 1931             |
|   |   | Portfolio Transactions                                                                                                                   | 1928, 1929, 1930 |
|   |   | Summary of Capital Raised                                                                                                                | 1928-1931        |
|   |   | Summary of Capital Raised for Various Companies                                                                                          | 1931             |
|   |   | Summary of Capital Raised for Various Companies                                                                                          | 1928, 1929, 1930 |

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|                                                                                     |                           |
|-------------------------------------------------------------------------------------|---------------------------|
| Estimate of expenses                                                                | 1932                      |
| Portfolio Securities                                                                | 1931                      |
| Allotment Sales for Years 1928, 1929, 1930, 1931                                    | 1928, 1929, 1930,<br>1931 |
| Bank Balances                                                                       | January 5, 1932           |
| Analysis of Sales and Commissions, 1928                                             | 1928                      |
| Analysis of Sales and Commissions                                                   | 1929                      |
| Analysis of Portfolio Sales                                                         | 1929                      |
| Analysis of Sales and Commissions                                                   | 1930                      |
| Analysis of Portfolio Sales                                                         | 1930                      |
| "The Insull Group - Public Utility Properties" -<br>European List                   | November 17, 1930         |
| Cable, Announcing New Offering of Insull Utility<br>Investments, Inc., Common Stock | July 28, 1930             |
| Summary of terms sold from allotment                                                | 1929                      |
| Comparative Statement of Allotment Sales                                            | 1929, 1930                |

**Subseries 1-F: Code, 1931- ca. 1932**

|   |   |      |                             |
|---|---|------|-----------------------------|
| 6 | 7 | Code | April 1931                  |
| 6 | 8 | Code | September – October<br>1931 |
| 6 | 9 | Code | Ca. 1932                    |

**Series 2: “Fall of the Empire” File, 1931-1932**

|   |    |                                                                                                                              |                                 |
|---|----|------------------------------------------------------------------------------------------------------------------------------|---------------------------------|
| 6 | 10 | “Fall of the Empire”                                                                                                         | January 19-February<br>23, 1932 |
| 6 | 11 | “Fall of the Empire”                                                                                                         | February 24-March 7,<br>1932    |
| 6 | 12 | “Fall of the Empire”                                                                                                         | March 8-10, 1932                |
| 6 | 13 | “Fall of the Empire”                                                                                                         | March 11-18, 1932               |
| 6 | 14 | “Fall of the Empire”                                                                                                         | March 19-22, 1932               |
| 7 | 1  | “Fall of the Empire”                                                                                                         | March 23-April 2,<br>1932       |
| 7 | 2  | “Fall of the Empire”                                                                                                         | April 3-11, 1932                |
| 7 | 3  | “Fall of the Empire”                                                                                                         | April 14-June 1932,<br>n.d.     |
| 7 | 4  | “Fall of the Empire” – Samuel Insull’s Address to<br>Shareholders of Commonwealth Edison Company                             | February 29, 1932               |
| 7 | 5  | “Fall of the Empire” – Insull, Son & Co.; Insull,<br>Son & Co., Ltd.; Insull, Son & Co., of Canada,<br>Ltd. – Financial Data | 1924-1932                       |
| 7 | 6  | “Fall of the Empire” – Middle West Utilities<br>Company, Financial Data                                                      | 1913-1932                       |
| 7 | 7  | “Fall of the Empire” – Midland United Company,<br>Financial Data                                                             | 1930                            |
| 7 | 8  | “Fall of the Empire” – Midland United Company<br>– Principal Shareholders                                                    | April 19, 1932                  |
| 7 | 9  | “Fall of the Empire” – Midland United Company<br>and Midland Utilities Company – Suggested Plan                              | May 16, 1932                    |

|   |    |                                                                                                             |                      |
|---|----|-------------------------------------------------------------------------------------------------------------|----------------------|
| 7 | 10 | for Reorganization and Recapitalization;<br>“Fall of the Empire” – Midland Utilities Company – Stockholders | April 19, 1932, n.d. |
| 7 | 11 | “Fall of the Empire” – Mississippi Valley utilities Investment Company – Financial Data                     | 1929-1931            |

**Series 3: E. Ogden Ketting’s Personal Files,  
1900-1932, undated**

**Subseries 3-A: Halsey, Stuart and Co., ca.  
1920-1932**

|   |    |                                                                                                                                                                                                                                                                   |                                    |
|---|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------|
| 7 | 12 | Halsey, Stuart and Co. – Correspondence                                                                                                                                                                                                                           | February 9, 1928-June 18, 1931     |
| 7 | 13 | Halsey, Stuart and Co. – Correspondence                                                                                                                                                                                                                           | June 29, 1931-March 24, 1932, n.d. |
| 7 | 14 | Halsey, Stuart and Co. – Finance and Investment Training Materials “Suggested Course of Training in Finance and Investments”<br>“Selling an Investment Policy, Prepared for Branch Managers’ Meeting March 20, 1926” by A. E. Bryson<br>“Public Utility Bonds”    | March 20, 1926, n.d.               |
| 7 | 15 | Halsey, Stuart and Co. – Finance and Investment Training Materials “The Work of Investment Analysis”                                                                                                                                                              | 1920s, n.d.                        |
| 7 | 16 | Halsey, Stuart and Co. – Finance and Investment Training Materials<br>“A Bank’s Bond Account from the Banker’s Viewpoint” by E. Hill Leith                                                                                                                        | 1920s, n.d.                        |
| 7 | 17 | Halsey, Stuart and Co. – Finance and investment Training Materials<br>“The Function of Reserves and Their Use by Business Enterprises” by R. W. Valentine<br>“Yields of Bonds and Stocks” by David C. Johnstone, Caleb Stone, Milton C. Cross, Edward A. Kirchner | 1920s, 1925, 1927                  |

**Subseries 3-B: Personal Correspondence with  
Samuel Insull, 1921, 1928-1932**

|   |   |                            |                                 |
|---|---|----------------------------|---------------------------------|
| 8 | 1 | “Samuel Insull – Personal” | 1921, February-April 1928, n.d. |
| 8 | 2 | “Samuel Insull – Personal” | May 1928                        |
| 8 | 3 | “Samuel Insull – Personal” | June 1928                       |
| 8 | 4 | “Samuel Insull – Personal” | July-October 1928               |
| 8 | 5 | “Samuel Insull – Personal” | November-December 1928, n.d.    |
| 8 | 6 | “Samuel Insull – Personal” | January-March 1929              |
| 8 | 7 | “Samuel Insull – Personal” | April-July 1929                 |

|                                                                                           |    |                                                                                             |                                     |
|-------------------------------------------------------------------------------------------|----|---------------------------------------------------------------------------------------------|-------------------------------------|
| 8                                                                                         | 8  | "Samuel Insull – Personal"                                                                  | August-October 1929                 |
| 8                                                                                         | 9  | "Samuel Insull – Personal"                                                                  | November 1929                       |
| 8                                                                                         | 10 | "Samuel Insull – Personal"                                                                  | December 1929-<br>February 17, 1930 |
| 8                                                                                         | 11 | "Samuel Insull – Personal"                                                                  | February 18-May<br>1930             |
| 8                                                                                         | 12 | "Samuel Insull – Personal"                                                                  | June-July 1930                      |
| 8                                                                                         | 13 | "Samuel Insull – Personal"                                                                  | September 26-<br>October 20, 1930   |
| 9                                                                                         | 1  | "Samuel Insull – Personal"                                                                  | October 21-November<br>1930         |
| 9                                                                                         | 2  | "Samuel Insull – Personal"                                                                  | December 1930                       |
| 9                                                                                         | 3  | "Samuel Insull – Personal"                                                                  | January 1931                        |
| 9                                                                                         | 4  | "Samuel Insull – Personal"                                                                  | February-June 1931                  |
| 9                                                                                         | 5  | "Samuel Insull – Personal"                                                                  | July 1931                           |
| 9                                                                                         | 6  | "Samuel Insull – Personal"                                                                  | August – October 6<br>1931          |
| 9                                                                                         | 7  | "Samuel Insull – Personal"                                                                  | October 7-31, 1931                  |
| 9                                                                                         | 8  | "Samuel Insull – Personal"                                                                  | November 1931                       |
| 9                                                                                         | 9  | "Samuel Insull – Personal"                                                                  | December 1931                       |
| 9                                                                                         | 10 | "Samuel Insull – Personal"                                                                  | June-August 1932                    |
| 9                                                                                         | 11 | "Samuel Insull – Personal"                                                                  | September-December<br>1932          |
| 9                                                                                         | 12 | Corporation Securities Co. of Chicago – Samuel<br>Insull's File                             | March 1930                          |
| <b>Subseries 3-C: Personal Correspondence with<br/>Samuel Insull, Jr., 1928-1932</b>      |    |                                                                                             |                                     |
| 10                                                                                        | 1  | Samuel Insull, Jr. – Correspondence                                                         | 1928-1931                           |
| 10                                                                                        | 2  | Samuel Insull, Jr. – Correspondence                                                         | 1932                                |
| <b>Subseries 3-D: Personal Correspondence with<br/>Philip J. McEnroe, 1928-1929, 1932</b> |    |                                                                                             |                                     |
| 10                                                                                        | 3  | P. J. McEnroe                                                                               | 1928-1932                           |
| <b>Subseries 3-E: Personal Correspondence with<br/>John F. O'Keefe, 1928-1931</b>         |    |                                                                                             |                                     |
| 10                                                                                        | 4  | John F. O'Keefe                                                                             | March-August 1928                   |
| 10                                                                                        | 5  | John F. O'Keefe                                                                             | September 1928-<br>December 1929    |
| 10                                                                                        | 6  | John F. O'Keefe                                                                             | 1930-1931                           |
| <b>Subseries 3-F: E. Ogden Ketting's Personal<br/>Files, 1900-1932</b>                    |    |                                                                                             |                                     |
| 10                                                                                        | 7  | Personal File – Responses to Samuel Insull's<br>Letter Announcing EOK Joining London Office | March 1928                          |
| 10                                                                                        | 8  | Personal File                                                                               | March-April 1928                    |
| 10                                                                                        | 9  | Personal File                                                                               | May-September 1928                  |
| 10                                                                                        | 10 | Personal File                                                                               | October-December                    |

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|    |    |                                                                                                                                                           |                                     |
|----|----|-----------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|
|    |    |                                                                                                                                                           | 1928                                |
| 10 | 11 | Personal File                                                                                                                                             | 1929                                |
| 10 | 12 | Personal File                                                                                                                                             | March-August 1930,<br>n.d.          |
| 10 | 13 | Personal File                                                                                                                                             | September 1930-<br>January 1931     |
| 10 | 14 | Personal File                                                                                                                                             | January 1931                        |
| 10 | 15 | Personal File – Celebration of the 50 <sup>th</sup><br>Anniversary of Samuel Insull’s Arrival in the<br>United States                                     | February 28, 1931                   |
| 11 | 1  | Personal File                                                                                                                                             | February 1931                       |
| 11 | 2  | Personal File                                                                                                                                             | March-May 1931                      |
| 11 | 3  | Personal File                                                                                                                                             | June-September 1931                 |
| 11 | 4  | Personal File                                                                                                                                             | October-December<br>1931            |
| 11 | 5  | Personal File                                                                                                                                             | April-August 1932,<br>n.d.          |
| 11 | 6  | Personal File – Electrical Supply Comparison<br>between Chicago and London [for 1924-1925]                                                                | 1927-1928                           |
| 11 | 7  | Personal File – London Power & Light<br>Corporation                                                                                                       | n.d.                                |
| 11 | 8  | Personal File – “England’s Darkest Hours” by<br>Prof. Andre Siegfried, Paris                                                                              | n.d.                                |
| 11 | 9  | Personal File – Insull Companies – Summaries of<br>Financial Reports                                                                                      | 1927, 1928                          |
| 11 | 10 | Personal File – Insull Group of Public Utilities –<br>Economic Data                                                                                       | 1929                                |
| 11 | 11 | Personal File – Middle West Utilities Company                                                                                                             | 1930                                |
| 11 | 12 | Personal File- Middle West and Subsidiaries<br>Capital Liabilities Chart of Securities Held by<br>Public                                                  | December 31 1929 –<br>June 30, 1931 |
| 11 | 13 | Personal File – Midland Utilities Company –<br>Statistical Data                                                                                           | 1900-1928                           |
| 11 | 14 | Personal File – <i>The Promised Land of Industry</i> , by<br>John B. Maling                                                                               | 1927                                |
| 11 | 15 | Personal File – <i>Shares Without Par Value</i> , extract<br>from pamphlet compiled and published for the<br>Corporation Trust Company, NY                | January 1, 1926                     |
| 11 | 16 | Personal File – “Four Representations of Psycho-<br>Analysis in Their Social Significance” by Paula<br>Freeman                                            | n.d.                                |
| 11 | 17 | Personal File – 1 black & white 8”x10”<br>photograph of E. Ogden Ketting<br>1 – black and white 8”x10” photograph of Samuel<br>Insull, Jr. as a young man | 1929<br><br>ca. 1920s               |
| 11 | 18 | Bankers Trust Company Statements                                                                                                                          | 1930-1932                           |
| 11 | 19 | Personal Correspondence and Finances                                                                                                                      | 1929-1931, n.d.                     |
| 11 | 20 | Personal Correspondence and Finances                                                                                                                      | July-August 1932                    |

|    |    |                                      |                             |
|----|----|--------------------------------------|-----------------------------|
| 11 | 21 | Personal Correspondence and Finances | September 1932, n.d.        |
| 11 | 22 | Personal Correspondence and Finances | October-November 1932, n.d. |
| 11 | 23 | Personal Correspondence and Finances | December 1932               |

**Series 4: Business and Personal Papers, 1894-1932**

|    |    |                                                                                                                                                                                                                                                                                                                                                                                                                          |                   |
|----|----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|
| 12 | 1  | Letters of Introduction of EOK from Samuel Insull                                                                                                                                                                                                                                                                                                                                                                        | February 21, 1928 |
| 12 | 2  | Letters of Introduction of EOK from H. L. Stuart                                                                                                                                                                                                                                                                                                                                                                         | February 1928     |
| 12 | 3  | Letters of Introduction of EOK                                                                                                                                                                                                                                                                                                                                                                                           | 1928              |
| 12 | 4  | Letters of Introduction of EOK                                                                                                                                                                                                                                                                                                                                                                                           | 1930-1931         |
| 12 | 5  | Samuel Insull – Correspondence                                                                                                                                                                                                                                                                                                                                                                                           | 1928-1929, 1932   |
| 12 | 6  | John F. O’Keefe – Correspondence                                                                                                                                                                                                                                                                                                                                                                                         | 1929              |
| 12 | 7  | Murray Corporation of America                                                                                                                                                                                                                                                                                                                                                                                            | 1928              |
| 12 | 8  | Promotion and Resignation Notices                                                                                                                                                                                                                                                                                                                                                                                        | 1930, 1932        |
| 12 | 9  | Marriage certificate of EOK’s parents, Marie Brosseau and Robert Ketting                                                                                                                                                                                                                                                                                                                                                 | October 4, 1894   |
| 12 | 10 | <i>Helmet</i> , Marquand School Yearbook                                                                                                                                                                                                                                                                                                                                                                                 | 1918              |
| 12 | 11 | U. S. Army Discharge                                                                                                                                                                                                                                                                                                                                                                                                     | 1918              |
| 12 | 12 | Marie Brosseau Ketting – Correspondence                                                                                                                                                                                                                                                                                                                                                                                  | 1930, 1932        |
| 12 | 13 | Personal Correspondence and Cables                                                                                                                                                                                                                                                                                                                                                                                       | 1928-1932         |
| 12 | 14 | Personal Finances and Investments                                                                                                                                                                                                                                                                                                                                                                                        | 1929-1932         |
| 12 | 15 | Personal Mementos                                                                                                                                                                                                                                                                                                                                                                                                        | 1929-1932         |
| 12 | 16 | London Property – 23 Lansdowne Road, W.                                                                                                                                                                                                                                                                                                                                                                                  | 1929-1932         |
| 12 | 17 | Christmas Card List                                                                                                                                                                                                                                                                                                                                                                                                      | 1930              |
| 12 | 18 | Medicinal Prescription for EOK                                                                                                                                                                                                                                                                                                                                                                                           | March 26, 1931    |
| 12 | 19 | Clippings – EOK Marriages and Death of Samuel Insull, Jr.                                                                                                                                                                                                                                                                                                                                                                | 1928, 1932, 1983  |
| 12 | 20 | Libertyville, Illinois, Property – “Heads or Tails” Game Preserve                                                                                                                                                                                                                                                                                                                                                        | 1962, 1964, n.d.  |
| 12 | 21 | Elinor Farwell Ketting – Biographical Sketch                                                                                                                                                                                                                                                                                                                                                                             | January 1992      |
| 12 | 22 | Automobile Taxes and “Rules of the Road” for various countries                                                                                                                                                                                                                                                                                                                                                           | 1930-1932         |
| 12 | 23 | London Orphan School Annual Report                                                                                                                                                                                                                                                                                                                                                                                       | 1931              |
| 12 | 24 | Photographs of E. Ogden Ketting<br>1 – snapshot outside Beverly Hills Hotel, California, Fall 1927<br>1 portrait, wearing vested suit and hat with finger in watch chain, ca. 1927-1928<br>1 portrait in suit coat with striped tie and handkerchief in pocket<br>1 profile snapshot in sport coat, holding handkerchief, with trees in background, 1928<br>2 small head and shoulders “Poso -Graph” portraits, ca. 1930 | 1927-ca. 1930     |
| 12 | 25 | Photographs of E. Ogden Ketting with J. Gilroy (Jim) Annan and Samuel Insull, Jr.                                                                                                                                                                                                                                                                                                                                        | 1928-1929         |

|    |    |                                                                                                                        |           |
|----|----|------------------------------------------------------------------------------------------------------------------------|-----------|
|    |    | 1 snapshot, dining outdoors with Jim Annan,<br>October 1928                                                            |           |
|    |    | 1 postcard snapshot, feeding the pigeons with<br>Samuel Insull, Jr., ca. 1928                                          |           |
|    |    | 1 snapshot on a dock by a ship with Samuel Insull,<br>Jr. (with pipe in his mouth), ca. 1928-1929                      |           |
| 12 | 26 | Photograph of Rosemary Ames Ketting (holding<br>dog) and friend, Mary Feliz Hayward de Bruque at<br>Pincents Farm      | July 1929 |
| 12 | 27 | Photograph of Elinor Farwell Ketting                                                                                   | Ca. 1932  |
| 12 | 28 | Photographs of Samuel Insull (3 photos)                                                                                | 1920s     |
|    |    | 1 autographed portrait, 1921                                                                                           |           |
|    |    | 1 snapshot with schoolgirls, ca. 1927-1928                                                                             |           |
|    |    | 1 snapshot at Pincent's House on Insull's estate in<br>Theale, Berkshire, England, with unidentified<br>male, ca. 1929 |           |